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PTO/SB/21 (02-04)
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STATE OF THE PAUL WOLL THE STATE OF THE STAT	55. NO 55150	Application Number	10/612,116		
TRANSMITTAL		Filing Date	July 2, 2003		
FORM		First Named Inventor	Karou Matsumoto		
(to be used for all correspondence after init	ial filing)	Art Unit	3749		
	0,	Examiner Name	Not yet assign	Not yet assigned	
Total Number of Pages in This Submission	Ч	Attorney Docket Number	051319-0036		
	ENC	CLOSURES (Check all that	t apply)		
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application	Rem	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addr Terminal Disclaimer Request for Refund CD, Number of CD(s) arks	IDS Su referer	to Technolo Appeal Com of Appeals: Appeal Com (Appeal Noti Proprietary Status Lette Other Enclo Identify belo ubmission lette nced in IDS, (er osure(s) (please
Response to Missing Part under 37 CFR 1.52 or 1.5	3				
SIGN	IATURE	OF APPLICANT, ATTORN	EY, OR AG	ENT	
Firm or John J. Skinner, Jr., Schulte Roth & Zabe I Individual name Signature Date August 27, 2004	Reg. No. 42	2,153			
	CERTIF	ICATE OF TRANSMISSION	N/MAILING		
I hereby certify that this correspondence sufficient postage as first class mail in an the date shown below.	s being fac envelope a	simile transmitted to the USPTO o addressed to: Commissioner for Pa	r deposited with tents, P.O. Box	h the United S x 1450, Alexa	States Postal Service with ndria, VA 22313-1450 on
Typed or printed name Ana Maria So	uarez	0 /			
Signature Av4	Mr, g	Sugrey		Date	August 27, 2004
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Panema pplication No.

10/612,116

Confirmation No. 3910

Applicant

Karou Matsumoto et al.

Filed

July 2, 2003

Art Unit

3749

Examiner

Not yet assigned

Title

SERIAL VENTILATION DEVICE

Docket No.

051319-0036

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for the Applicants hereby bring the following reference which is listed on the attached modified PTO Form No. PTO/SB/08A to the attention of the Examiner. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This information disclosure statement is being filed under 37 C.F.R. § 1.97(b)(3) before the mailing of a first Office Action on the merits.

It is respectfully requested that these references be fully considered by the U.S. Patent

and Trademark Office during the examination of this application and printed on any patent

which may issue on this application. Applicants request that a copy of Forms PTO/SB/08A

and PTO/SB/08B, as considered and initialed by the Examiner, be returned with the next

communication.

No fee is believed due, however, if a fee is due the Commissioner of Patents is hereby

authorized to charge any fee or credit any overpayments that may be necessary to Deposit

Account No. 50-0675 of Schulte Roth & Zabel LLP, Order No. 051319-0036.

Respectfully submitted,

Schulte Roth & Zabel LLP

Attorneys for Applicants

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John J. Skinner, Jr.

Reg. No. 42,153

Dated: August 27, 2004 New York, New York

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Substitute for form 1449/PTO

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Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/612,116		
Filing Date	July 2, 2003		
First Named Inventor	Karou Matsumoto		
Art Unit	3749	1.2.4	
Examiner Name	Not yet assigned		
Attorney Docket Number	051319-0036		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		^{US-} 6,626,653	01-01-2002	Delta Electronic Inc	
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		FOREI	GN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁶ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
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Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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